Identities of Kauffman monoids: finite axiomatization and algorithms

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- Checking identities
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- Checking identities in \mathcal{K}_3
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Here we deal with semigroup identities; such an identity is just an arbitrary pair of semigroup words, traditionally written as a formal equality. We will write identities using the sign \simeq , so that the pair (w, w') is written as $w \simeq w'$, and reserve the usual equality sign = for 'genuine' equalities.

For a semigroup word w, the set of all letters that occur in w is denoted alph(w). For instance, $alph(xy^2zxzy^2x) = \{x, y, z\}$.

Let $\mathcal S$ be a semigroup, $w \simeq w'$ an identity, and let $X := \operatorname{alph}(ww')$. $\mathcal S$ satisfies $w \simeq w'$ if $w\varphi = w'\varphi$ for every morphism $\varphi \colon X^+ \to \mathcal S$. Observe that φ is uniquely determined by the substitution $\varphi|_X$ so that the definition amounts to saying that every substitution of elements in $\mathcal S$ for letters in X yields equal values to w and w'.

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Given a semigroup \mathcal{S} , its identity checking problem, denoted CHECK-ID(\mathcal{S}), is a combinatorial decision problem whose instance is a semigroup identity $w \simeq w'$; the answer to the instance $w \simeq w'$ is "YES" if \mathcal{S} satisfies $w \simeq w'$ and "NO" otherwise.

We stress that here S is fixed and it is the identity w = w' that serves as the input so that the time/space complexity should be measured in terms of the size of the identity, i.e., in terms of |ww'|.

For a finite semigroup, the identity checking problem is always decidable. Indeed, if S is finite, then for every identity w = w', there are only finitely many substitutions of elements in S for letters in $X := \operatorname{alph}(ww')$, and one can consecutively calculate the values of w and w' under each of these substitutions.

This brute-force algorithm is not good at all: if |X| = k and |S| = n, there are n^k substitutions $X \to S$ whence the time spent by the algorithm is exponential of the size of the input.

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On the other hand, for every finite semigroup \mathcal{S} , its identity checking problem belongs to the complexity class co-NP (the class of negations of problems in NP).

Indeed, for every input $w \simeq w'$ with $|\operatorname{alph}(ww')| = k$, one

- 1) guesses a k-tuple of elements in S;
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- (The latter check can be performed since ${\mathcal S}$ is finite!)
- Clearly, this nondeterministic algorithm has a chance to succeed iff S does not satisfy the identity $w \simeq w'$.

The time spent by the algorithm is polynomial (in fact, linear) of the size of $w \simeq w'$. Thus, CHECK-ID(S) lies in co-NP.

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Thus, we have an upper bound for the complexity of CHECK-ID(S) with S being a finite semigroup.

This bound is tight: there are finite semigroups with co-NP-complete identity checking problem.

Examples: non-solvable groups (Gabor Horváth, John Lawrence, Laszlo Mérai, and Csaba Szabó, The complexity of the equivalence problem for nonsolvable groups, Bull. London Math. Soc. 39(3): 433–438 (2007)), symmetric monoid on 3 points (Jorge Almeida, V., and Svetlana Goldbe Complexity of the identity checking problem in finite semigroups, J. Ma Sci. 158(5): 605–614 (2009)), the 6-element Brandt monoid (Steve Sei The Perkins semigroup has co-NP-complete term-equivalence problem, IJAC 15(2):317–326 (2005), and, independently, Ondřej Klíma, Complexity issues of checking identities in finite monoids, Semigroup Forum 79(3): 435–444 (2009)).

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Main Problem

To classify finite semigroups S with respect to the complexity of CHECK-ID(S): which semigroups are "easy" (the problem is in P) and which are "hard" (the problem is co-NP-complete)?

Open even in the group case (compare with rings).

For general finite semigroups, the behaviour of the complexity of $\mathrm{CHECK\text{-}Id}(\mathcal{S})$ turns out to be very complex; e.g., an easy semigroup can contain a hard subsemigroup, etc.

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Compare with CSP.

Identities of Infinite Semigroups

Identities in infinite semigroups are not well studied. Reason: "usual" infinite semigroups (transformations, relations, matrices) are too big (contain a copy of the non-monogenic free semigroup). Therefore they satisfy only trivial identities of the form $w \simeq w$.

But if an infinite semigroup does satisfy a non-trivial identity, its identity checking problem constitutes a challenge since no "finite" methods apply. Clearly, the brute-force approach fails as the number of k-tuples is infinite.

The nondeterministic guessing algorithm also fails in general because an infinite semigroup $\mathcal S$ may have undecidable word problem so that it might be impossible to decide whether or not the values of two words under a substitution are equal in $\mathcal S$.

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How can one recognize the identities of an infinite semigroup $\mathcal S$ when all "finite" methods fail? One should look for a combinatorial characterization of the identities of $\mathcal S$ that one could effectively verify for each input $w \simeq w'$ instead of evaluating w and w' in $\mathcal S$.

Toy example: the Parikh vector of a word w is

$$p(w) := (|w|_{a_1}, |w|_{a_2}, \dots, |w|_{a_k}),$$

where $alph(w) = \{a_1, \ldots, a_n\}$ and $|w|_{a_i}$ denotes the number of occurrences of the letter a_i in the word w. For instance, $p(xy^2zxzy^2x) = (3,4,2)$.

An identity $w \simeq w'$ holds in the additive (or multiplicative) semigroup N iff p(w) = p(w'). Hence, CHECK-ID(N) is in P.

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Let $\mathcal{J}_{\infty} := \langle e, f \mid e^2 = e, f^2 = f \rangle$. Check-Id (\mathcal{J}_{∞}) is in P.

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Theorem (Laura Daviaud, Marianne Johnson, and Mark Kambites, Identities in upper triangular tropical matrix semigroups and the bicyclic monoid, J. Algebra 501: 503–525 (2018))

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Temperley-Lieb Algebras

Neville Temperley and Elliott Lieb (Relations between the percolation and colouring problem and other graph-theoretical problems associated with regular planar lattices: Some exact results for the percolation problem, Proc. Roy. Soc. London Ser. A 322, 251–280, 1971) motivated by some problems in statistical physics have introduced what is now called Temperley–Lieb algebras. These are associative linear algebras with 1 over a commutative ring $\it R$.

Given n and $\delta \in R$, the algebra $\mathcal{TL}_n(\delta)$ is generated by n-1 generators h_1, \ldots, h_{n-1} subject to the relations

$$h_i h_j = h_j h_i$$
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The relations of $\mathcal{TL}_n(\delta)$ do not involve addition. This suggests introducing a monoid whose monoid algebra over R could be identified with $\mathcal{TL}_n(\delta)$. A tiny obstacle is the scalar δ in $h_ih_i=\delta h_i$. It can be bypassed by adding a new generator c that imitates δ .

This way one arrives at the monoid \mathcal{K}_n with n generators c,h_1,\ldots,h_{n-1} subject to the relations

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The monoids K_n are called the Kauffman monoids. Lois Kauffman (An invariant of regular isotopy, Trans. Amer. Math. Soc. 318: 417–471 (1990)) independently invented these monoids as geometrical objects. We present Kauffman's approach in a slightly more general setting.

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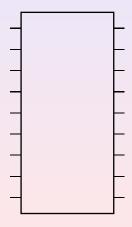
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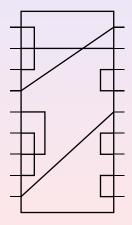
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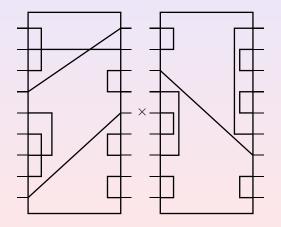
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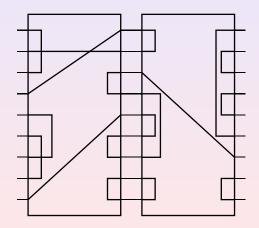
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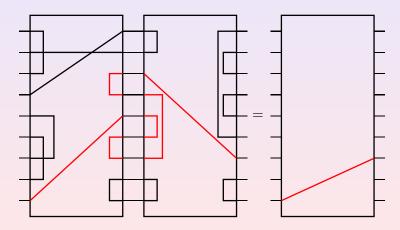
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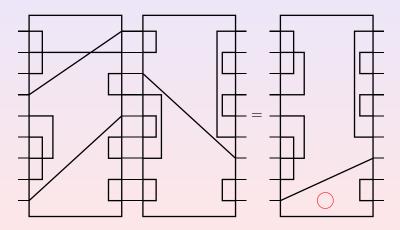












Types of Wire Monoids

There are two issues on which the outcome of the above definition depends: 1) do we care of crossing wires? 2) do we care of circles?

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Richard Brauer's monoids arose in his paper: On algebras which are connected with the semisimple continuous groups, Ann. Math. 38: 857–872 (1937), as vector space bases of certain associative algebras relevant in representation theory.

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Jones monoids are named after Vaughan Jones, the famous knot theorist. They are sometimes called Temperley–Lieb monoids.

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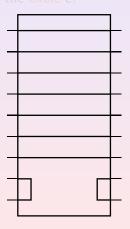
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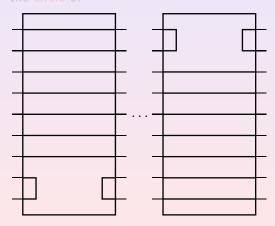
Kauffman monoids arise when crossing are not allowed, and we care of the number of circles.

Thus, the Kauffman monoid \mathcal{K}_n consists of 2n-pin chips with non-crossing wires that may contain circles. Only the number of circles matters, not their location. The monoid \mathcal{K}_n is generated by the hooks h_1,\ldots,h_{n-1} and

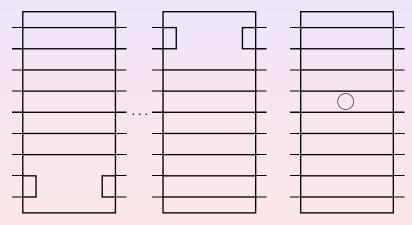
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Recall the relations we used to define \mathcal{K}_n :

$$h_i h_j = h_j h_i$$
 if $|i - j| \ge 2$,
 $h_i h_j h_i = h_i$ if $|i - j| = 1$,
 $h_i h_i = c h_i$,
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These relations are satisfied when h_i and c are interpreted as the hooks and the circle. For the last relation it is clear—the circle does not react with the hooks, for the others it is shown in the next slides.

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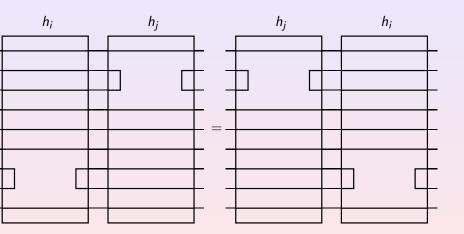
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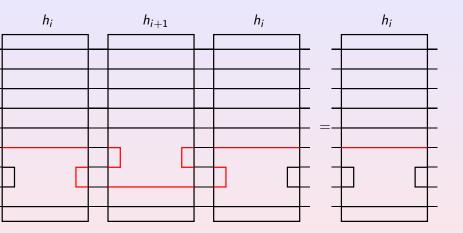
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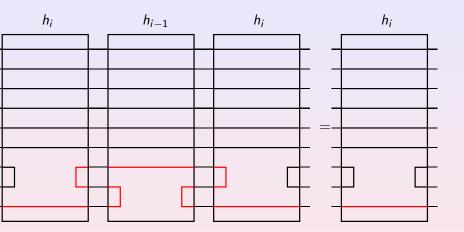
$h_i h_j = \overline{h_j h_i}$ if $|i - \overline{j}| \ge 2$



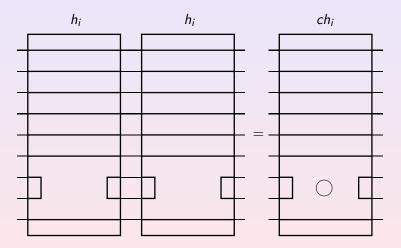
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Thus, the "planar" wire monoid generated by the hooks and the circle satisfies the relations of \mathcal{K}_n and is therefore a homomorphic image of \mathcal{K}_n . In fact, this wire monoid is isomorphic to \mathcal{K}_n (requires some work). This connection was realized by Jones who didn't bother himself with a formal proof. Such a proof has first been published by Mirjana Borisavljević, Kosta Došen and Zoran Petrić: Kauffman monoids, J. Knot Theory Ramifications 11: 127–143 (2002).

Similarly, one can show that the Jones monoid of 2n-pin chips with non-crossing wires without circles is generated by the hooks h_1, \ldots, h_{n-1} subject the relations

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Mikhail Volkov Identities of Kauffman monoids June 10th, 2019 21 / 27

A jump is a triple (x, C, y), where x and y are (not necessarily distinct) letters and C is a (possibly empty) set of letters that contains neither x nor y. The jump (x, C, y) occurs in a word w if w can be decomposed as w = uxtyv where u, t, v are (possibly empty) words and C = alph(t).

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Theorem (Yuzhu Chen, Xun Hu, Nikita Kitov, Yanfeng Luo, and V., submitted)

An identity w = w' holds in the Kauffman monoid \mathcal{K}_3 iff

- 1) w and w' have the same first occurrence sequence and the same last occurrence sequence, and
- 2) every jump occurs the same number of times in w and w'.

Example and Corollary

For instance, the identity $x^2yx = xyx^2$ holds in \mathcal{K}_3 .

The first occurrence sequence of x^2yx and xyx^2 is xy, the last occurrence sequence of x^2yx and xyx^2 is yx, and the jumps that occur in x^2yx and xyx^2 are (x,\varnothing,x) , (x,\varnothing,y) , $(x,\{y\},x)$, and (y,\varnothing,x) , each occurring exactly once.

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One can check the conditions of the above theorem in $O(|ww'| \log |ww'|)$ time. Hence:

Corollary

The problem CHECK-ID(\mathcal{K}_3) lies in the complexity class P.

The next step is, of course, to consider the identities of \mathcal{K}_4 .

For some time, we tried to show that the identity $x^2yx \simeq xyx^2$ fails in \mathcal{K}_4 . We did not succeed, which was a sort of surprise because, informally speaking, \mathcal{K}_4 is much more complicated than \mathcal{K}_3 .

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Here are the four 'basic' chips from \mathcal{K}_3 (all others chips in \mathcal{K}_3 are obtained by adding circles to these four and the unit chip =):







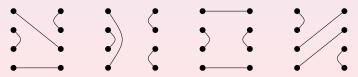


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To compare, here are a few (not all!) basic chips from \mathcal{K}_4 :



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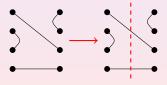


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The nature of the proof is geometric: we use certain properties of the following 'surgery' map on the basic chips of \mathcal{K}_4 :

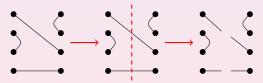


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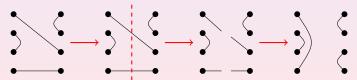
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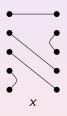


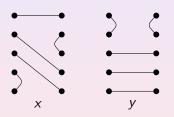
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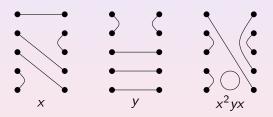
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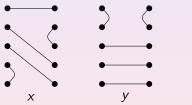
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• Checking identities in K_n , n > 4

- Checking identities in other interesting infinite monoids, e.g., monoids of tropical matrices, cobordism monoids, plactic monoids, etc.
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